### SEC Form 4

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr	1 0	Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol Kodiak Sciences Inc. [KOD]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
EHRLICH JASON				Director 10% Owner					
·				X Officer (give title Other (specify					
(Last) (First)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Delow) Delow)					
2631 HANOVER STREET			11/20/2020	See Remarks					
2051 HANOVER SIREET									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)				,					
PALO ALTO	CA	94304		X Form filed by One Reporting Person					
			_	Form filed by More than One Reporting					
(City)	(State)	(Zip)		Person					
		· · · /							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/20/2020		М		6,950	A	\$10.29	49,793	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		505	D	\$133.713(2)	49,288	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		259	D	\$134.719(3)	49,029	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		400	D	\$137.267 <sup>(4)</sup>	48,629	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		981	D	\$138.56 <sup>(5)</sup>	47,648	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		2,134	D	\$139.388(6)	45,514	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		2,614	D	\$139.964(7)	42,900	D	
Common Stock	11/20/2020		<b>S</b> <sup>(1)</sup>		57	D	\$140.875	42,843	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$10.29	11/20/2020		М			6,950	(8)	08/29/2028	Common Stock	6,950	\$0.00	338,675	D	

**Explanation of Responses:** 

1. The sales reported in this Form 4 were effected pursuant to a 10b5-1 trading plan.

2. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$133.315 to \$133.93, inclusive. The Reporting Person undertakes to provide the Issuer, any security holder of the Issuer, or the Staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in these footnotes.

3. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$134.46 to \$134.93, inclusive.

4. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$136.87 to \$137.81, inclusive.

5. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$137.91 to \$138.79, inclusive.

6. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$138.90 to \$139.755, inclusive.

7. The price reported in Column 4 is a weighted-average price. The shares were sold in multiple transactions ranging from \$139.795 to \$140.415, inclusive.

8. Twenty-five percent of the shares subject to the option vested on September 1, 2019 and 1/48th of the shares subject to the option vest on the same day of each month thereafter.

#### Remarks:

Chief Medical Officer and Chief Development Officer

/s/ Carlton Fleming, Attorney-11/24/2020 in-Fact for Jason Ehrlich

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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